## WHAT IS IT?

Creative Capacity Grants (CCGs) provide general operating support to nonprofit organizations whose primary mission is to produce, present, teach, or serve the arts.

## WHO IS IT FOR?

Organizations that may apply for Creative Capacity Grants at levels 6 - 8...

- · are based in Arizona.
- are incorporated as a nonprofit organization with tax-exempt status, or are a unit of municipal or tribal government,
- have a primary mission to produce, present, teach, or serve the arts.
- employ a full-time executive, managing, music, or artistic director,
- have a minimum annual budget of \$400,000, and
- will incur eligible expenses during the funding period (July 1, 2023 - June 30, 2024)

## MULTIDISCIPLINARY AND MULTIPURPOSE ORGANIZATIONS

Multidisciplinary or multipurpose organizations are eligible to apply if they meet the above requirements and can demonstrate that over 51% of their public programming and budget is devoted to the arts. Multidisciplinary or multipurpose organizations should contact Arts Commission staff to discuss eligibility before applying.

See FAQ #2 on page 11 for more information.

## **APPLICATION LIMITS**

Across grant programs, the Arizona Commission on the Arts limits the number of applications an organization may submit to one application per funding period. Organizations applying for a Creative Capacity Grant in Fiscal Year 2024 may not apply for any other Arts Commission grants.

# PRIMARY STAFF CONTACT

#### Claudio Dicochea

**Director of Organizational Programs** 

Email: <a href="mailto:cdicochea@azarts.gov">cdicochea@azarts.gov</a>

Phone: (602) 771-6517

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## **APPLICATION & REVIEW TIMELINE**

### **Application Due Date**

11:59 pm, April 6, 2023

## **Grant review panels convened**

May 2023 (dates and times TBA)

### **Grantees notified**

July 2023

### **Funding Period**

July 1, 2023 to June 30, 2024

# **SECONDARY CONTACT**

## Hanna Spence-Schehr

**Grant Assistant** 

Email: hspenceschehr@azarts.gov

Phone: (602) 771-6535

# **ELIGIBLE EXPENSES**

CCG funds are intended to support general day-to-day operating costs, such as the following:

## **Salaries and Personnel Expenses**

Such as creative workers (i.e., artists, tradition bearers, designers, educators, curators, program administrators, service providers, and other creative contributors), production staff, administrators, teachers, contractors, consultants

## **Artistic/Production Expenses**

Such as supplies, materials, equipment, venues, royalties, licensing

## **Administrative Expenses**

Such as office space and supplies, insurance, professional services like accounting or payroll, fees for banking, online services and platforms, training and education costs, marketing and promotion costs

## **GRANT LEVELS & AWARD AMOUNTS**

Organizations apply in one of eight grant levels. For the purposes of this program, an organization's **grant level** is determined by their adjusted annual revenue for the most recently completed fiscal year (Fiscal Year 2022), which is defined as the organization's total annual revenue minus the monetary value of any in-kind support.

**Award projections** are determined based on the Arts Commission's funding priorities, the agency's budget for the upcoming fiscal year, and an organization's **panel review ranking**. As the agency's budget is not known until well after grant guidelines are published, the amounts below are based on conservative projections of what may be possible.

Award projections for FY2024 are as follows:

|                         | Award Projections               |
|-------------------------|---------------------------------|
| Adjusted Annual Revenue | (Based on Panel Review Ranking) |

|         |                           | Low      | Medium   | High     |
|---------|---------------------------|----------|----------|----------|
| Level 6 | \$400,000 - \$999,999     | \$25,000 | \$30,000 | \$35,000 |
| Level 7 | \$1,000,000 - \$4,999,999 | \$30,000 | \$40,000 | \$50,000 |
| Level 8 | \$5,000,000 and above     | \$40,000 | \$50,000 | \$60,000 |

**Be advised:** Due to the fluid nature of the Arts Commission's budget, applicants are strongly encouraged to budget conservatively when forecasting potential awards.

For more information on how the Arizona Commission on the Arts is funded, visit <a href="https://azarts.gov/about-us/who-we-are/budget/">https://azarts.gov/about-us/who-we-are/budget/</a>.

# **MULTI-YEAR FUNDING**

The Creative Capacity Grant operates on a multi-year funding cycle. Organizations submit a full application once every three years. In their two "alternate" years, grantees submit only a brief status update and Funder Report and are not subject to panel review.

For Fiscal Year 2024, all organizations in Levels 6-8 (new and returning) will submit a full application.

## **Levels 6-8 Application Cycle**

| Fiscal Year 2024 | Full Application |
|------------------|------------------|
| Fiscal Year 2025 | Alternate Year   |
| Fiscal Year 2026 | Alternate Year   |
| Fiscal Year 2027 | Full Application |

## CHANGING GRANT LEVEL

Sometimes organizations experience a significant increase or decrease in revenue from one year to the next. Such shifts may result in a change to the organization's grant level. In such cases, the Arts Commission may request a full application, even if the organization is in an alternate year. If you suspect this may be the case, we encourage you to contact us before applying.

## **GRANTEE REQUIREMENTS**

#### **Match CCG Award**

Grantees must be able to match their CCG award with cash, dollar for dollar, by the end of the funding period (June 30, 2024). Matching funds may be earned (e.g., ticket sales, participation fees, etc.) or contributed (e.g., private donations, other grants, etc.).

### **Submit a Final Report**

To remain eligible for future grants, grantees must submit a final report to the Arts Commission at the conclusion of the funding period.

# **GRANT TIMELINE**



Awards are paid out over the course of the Arts Commission's fiscal year (July 1 – June 30) on a predetermined schedule. Typically, payments begin with Level 1 grantees in the second quarter of the agency's fiscal year (October - December) and end with Level 8 grantees receiving payment in the fourth quarter (April - June).

## **BEFORE YOU APPLY**

We encourage applicants to do the following prior to beginning their application:

## **Request Accommodations**

If you require accommodation in completing the application, or otherwise participating in the grant application process, please contact the Director of Organizational Programs, Claudio Dicochea, by phone at (602) 771-6517 or by email at <a href="mailto:cdicochea@azarts.gov">cdicochea@azarts.gov</a>.

## **Prepare Narrative Responses**

Some applicants find it helpful to develop their responses using word processing software, outside the application platform. This allows them to draft responses with team members and monitor their word counts for each question. A Word document version of the narrative questions can be downloaded at <a href="https://azarts.gov/grant/creative-capacity-grant/">https://azarts.gov/grant/creative-capacity-grant/</a>.

## **Create an Account for Your Organization**

In the interest of improving the user experience for applicants and reviewers, the Arizona Commission on the Arts has transitioned to a new submission platform this year. Prior to applying, all applicants will need to register their organization at <a href="https://azarts.smapply.io/">https://azarts.smapply.io/</a>. For instructions on registering your organization, visit our applicant resources page: <a href="https://azarts.gov/grants/applicant-resources/">https://azarts.gov/grants/applicant-resources/</a>

## **Create or Update SMU DataArts Profile**

DataArts is a powerful online data management tool designed to strengthen arts and cultural organizations nationwide. Organizations enter financial and programmatic data into a standardized online form and can then produce a variety of reports based on this data, including the DataArts Funder Report submitted with the CCG application.

Log-in or register at <a href="https://da.culturaldata.org/">https://da.culturaldata.org/</a>. If you are new to using DataArts, you can find a video tutorial for getting started at <a href="https://culturaldata.org/what-we-do/for-arts-cultural-organizations/the-cultural-data-pro-file/">https://culturaldata.org/what-we-do/for-arts-cultural-organizations/the-cultural-data-pro-file/</a>, and you can reach out to Arts Commission staff or the DataArts Support Center (<a href="https://culturaldata.org/contact/">https://culturaldata.org/contact/</a>) for questions and assistance.

## **HOW TO APPLY**

When you're ready, go to <a href="https://azarts.gov/grant/creative-capacity-grant/">https://azarts.gov/grant/creative-capacity-grant/</a> and click on "Apply Now." You do not have to complete the application in one session; at any point you can save a draft of your application and complete or submit it later.

# THE APPLICATION

## ORGANIZATION OVERVIEW

Information collected in this section of the application is used for internal and reporting purposes only. You will be required to upload an IRS determination letter in the "Organization Type" section. (Units of government are exempt from this requirement.)

- Organization Name and DBA (if applicable)
- · Organization mailing address
- County: Which county is your organization located in?
- Federal Congressional District: Which federal congressional district is your organization located in? To look up your congressional

district by address visit: <a href="https://www.house.gov/representatives/find-your-representative/">https://www.house.gov/representatives/find-your-representative/</a>

# TECHNICAL SUPPORT

If you encounter any technical issues with the online application platform, click on the info icon (like the one above) in the black ribbon at the top of the application page. You can then select to explore the platform's FAQ page or submit a request for technical assistance.

Note: technical support hours are Monday to Friday from 8:00 am - 8:00 pm **Eastern Time**, and Sundays from 10:00 am - 2:00 pm **Eastern Time**.

- State Legislative District: Which state legislative district is your organization located in? To look up your legislative district by address visit: <a href="https://www.azleg.gov/findmylegislator/">https://www.azleg.gov/findmylegislator/</a>
- Secondary contact info (the primary contact will be the person submitting the application)
- ADA Coordinator contact info
- Year established
- Primary Disciplinary Category: Applicants can select the discipline that best applies from dropdown menu options.
- Is this your organization's first time applying for any grant through the Arizona Commission on the Arts?
- Organization type (choose from nonprofit or unit of government)
- Upload: Federal Tax Exemption Letter (This is the IRS determination letter granting nonprofit 501(c)3 status, not the letter assigning a Federal Tax ID Number.)

APPLICATION CONTINUED ON NEXT PAGE

# THE APPLICATION

## NARRATIVE QUESTIONS

Narrative questions will be reviewed by the review panelists.

administrators, and other creative contributors)?

Provide your organization's mission statement. (150 word max)

- 1. Describe your organization's programs or services in relationship to its mission. (300 words max) Tip! The values expressed in a mission statement speak to an organization's unique vision, purpose, and goals. Consider how these values are reflected in specific programs, services, events, workshops, community activities, or internal practices.
- 2. Describe what goes into the development of your programming. (300 words max)

  Tip! Consider how your organization approaches decision-making, determines program goals, and engages with creative workers (i.e., artists, tradition bearers, designers, educators, curators, program or service
- 3. Describe your organization's administrative structure. (250 words max)

  Tip! For example, what does your organizational chart look like? How are administrative and programmatic tasks managed? What kind of leadership model does your organization have?
- 4. How does your organization explore meaningful collaborations with different stakeholders to better achieve its mission? (250 words max)

Tip! Consider any partnerships, interactions, and/or outreach strategies that advance (or are advanced by) your programs and services.

Depending on their mission, organizations engage their communities in very different ways. Some are established to engage individuals that share a particular demographic, social, or cultural commonality (e.g., a youth theater, gay men's chorus, or a rural Latinx art gallery). Others take a broad approach, designing their programs/services to engage a more general community of participants, (e.g., a community arts center). Question 5 asks you to identify your organization's primary approach and answer questions specific to your choice.

- 5. Choose the statement below that best describes your organization:
  - Our organization takes a broad approach, serving a general, non-specific population.
  - Our organization centers a specific community or intersection of cultural communities.

If you chose **broad approach**, answer the sub-questions below:

- a) How does your organization ensure an accessible and inclusive environment for participants of varying ages, cultural backgrounds, abilities, etc.? (350 words max)
  - Tip! Consider how your organizational structure, programming, professional engagement of creative workers, community interaction, etc. reflect or respond to diverse populations.
- b) How does your organization use public input and feedback from the communities it serves. (300 words max)

**APPLICATION CONTINUED ON NEXT PAGE** 

# THE APPLICATION

If you chose specific community, answer the sub-questions below:

Your responses to the sub-questions to the right will be specific to the communities your organization's mission and programming specifically focus on.

You will select all that apply from the list below:

Age Group, Disabilities, Geography, Gender, Health Status, Heritage, Income Status, Military Status, Race & Ethnicity, Religion, Sexual Orientation

If Race & Ethnicity is selected above, you will further specify what racial/ethnic identity or identities:

Asian, Black, Latinx, Middle Eastern / North African, Native American, Native Hawaiian / Pacific Islander, White / European, Not Listed

- a) In what ways do your organization's operations, programs, and/or services reflect or respond to the specific community or intersection of communities you engage? (350 words max)
  - Tip! Operational aspects could include programming, leadership, organizational culture, staffing, professional engagement of creative workers, community interaction, etc.
- b) How does your organization engage the indicated community or intersection of communities in the development, implementation, and evaluation of your operations, programs, and/or services? (300 words max)

Please provide the following information for each indicated community:

- c) What percentage of your executive leadership identifies as \_\_\_\_?
- d) What percentage of your governing board identifies as \_\_\_?
- 6. What are some of the expected benefits for those who participate in your organization's programming and how do you gauge your success in delivering these benefits? (350 words max)
  - Tip! Every organization approaches the feedback from, the documenting of, and the learning outcomes of its specific programming or activities differently; consider what approaches or methods your organization uses to better understand the effects that its work has on participants.
- 7. How does your organization adapt programming, communications, or services to ensure that programming, facilities, virtual platforms, and activities support the participation of and access for people of all abilities? (300 words max)
  - Tip! Consider ADA compliance as well as any efforts to ensure that all organizational programming, facilities, virtual platforms, and activities support the participation of and access for people of all abilities.
- 8. What are your organization's current budget priorities? How do you manage and allocate fiscal resources in alignment with these priorities and track that they are being met? (300 words max)
  - Tip! Consider how your internal fiscal practices reflect your immediate priorities, values and short-term goals.
- 9. What are your organization's long-term goals for growth or improvement and how do you track your progress toward these goals? (300 words max)
  - Tip! An organization's long-term goals may relate to audience numbers, fiscal stability, accessibility practices, community engagement, specific programming/services, funder support, internal capacity-building, etc.

**APPLICATION CONTINUED ON NEXT PAGE** 

# THE APPLICATION

## FINANCIAL DOCUMENTATION

The DataArts Funder Report and narrative will be reviewed by the panel and evaluated as part of the Fiscal Practices & Viability criterion.

### **Fiscal Year Start and End Date**

Enter the start and end date (month and year) of your organization's Fiscal Year 2022 (ex., July 2021 - June 2022).

## **Fiscal Year 2022 Adjusted Annual Revenue**

Enter your organization's adjusted annual revenue from Fiscal Year 2022, as it appears on your DataArts Funder report. This is the figure labeled "Total Revenue Unrestricted Less In-kind Unrestricted" found at the top of the first page. Adjusted annual revenue is your organization's total annual revenue minus the monetary value of any in-kind support.

## **DataArts Funder Report**

CCG Levels 6 -8 applicants will upload an SMU DataArts Funder Report, containing data from Fiscal Years 2021and 2022, to their application. Applicants will need to enter the relevant financial and participation data for the required fiscal years into the DataArts platform, and then generate a Funder Report, selecting fiscal year 2022 as the reporting year. (See FAQ)

## **Funder Report Narrative**

This is your opportunity to bring your Funder Report to life as if you were explaining aspects of it to the review panel. Please note: the Funder Report examined by the review panel will not display any of the notes you left for yourself in conjunction with your data. (300 words maximum)

# **REVIEW PROCESS**

All applications are subject to a public review process. First, Arts Commission staff review each application for completeness and eligibility. Applications that are incomplete or submitted after the due date are considered ineligible for panel review and funding.

Eligible applications are then evaluated by independent review panels made up of individuals from communities throughout the state who work in or adjacent to the arts. They evaluate each application on its own merits and solely on the basis of the published evaluation criteria.

Panelists first review applications individually. They then meet as a body to discuss the applications and finalize assessment as a group. This meeting is open to the public and while applicants are not permitted to participate in the discussion, they are encouraged to attend or listen-in online. Applicants being reviewed will be notified when panel dates are set. At the conclusion of their deliberations, the panel submits their funding recommendations to the Arts Commission's Governor-appointed board of commissioners for approval.

# **EVALUATION CRITERIA**

Your organization's application materials will be reviewed by an independent panel according to the following criteria. Please note: need is not a criterion. Application merit is based upon strength of response in relation to the review criteria.

## **Creative Capacity**

Application demonstrates a strong understanding of the organization's capacity to realize its mission and describes effective strategies for achieving current and long-term goals.

**What panelists will be thinking about:** Is the scope of the organization's work in alignment with its mission and its capacity to deliver intended benefits? Does the application articulate a clear process for developing and implementing programming consistent with the organization's mission and values?

## **Quality of Programs & Services**

Application demonstrates creative and responsive programming, strong evaluation methods, and missionaligned engagement practices.

**What panelists will be thinking about:** Does the application describe mission-aligned programming and engagement practices? Does the organization demonstrate robust and authentic engagement with Arizona's creative workers and other key stakeholders in their programming and services? How does the organization engage the community(ies) it serves in the development and evaluation of its programs?

## **Integrity & Public Benefit**

Viewed as a whole, the application demonstrates alignment between the organization's mission, operational practices, goals, programming, and a strong understanding of the community(ies) served.

What panelists will be thinking about: Are the organization's mission and values evident in their programming and operations? How does the organization's programming reflect or respond to the community(ies) served? Does the organization demonstrate an ability to make its programming or services accessible? Does the organization clearly outline efforts to support the participation of and access for people of all abilities?

### **Fiscal Practices & Viability**

Viewed as a whole, the application describes sound fiscal practices and demonstrates alignment between these practices and the organization's mission, values, and strategic goals.

What panelists will be thinking about: Are the organization's practices around the administration and use of resources aligned with their mission and values? How do the organization's practices around the administration and use of resources ensure their work remains viable and their goals achievable?

# FUNDING RESTRICTIONS

Grant funds can only be used for the allowable expenses outlined in the guidelines. Additionally, this program does not fund the following:

- Applicants that received Arts Commission funding in fiscal year 2023, but failed to file a final report by Monday, Monday, September 18, 2023.
- Organizations not dedicated to producing, presenting, teaching, or serving the arts as their primary mission (the arts must comprise over 51% of public programming and budget to be eligible)
- Any division of local, state, tribal or federal government except arts and cultural organizations from Tribal Nations and Local Arts Agencies (including arts councils and arts commissions)
- · Applications submitted by for-profit organizations
- · Schools, including public, private, and charter
- · Botanical gardens, parks, zoos or science centers
- · Auxiliary/affiliate organizations
- Religious institutions or religious group-sponsored organizations not open to participation by noncongregants
- Religious institutions or religious group-sponsored organizations whose primary purpose is the religious socialization of individuals or whose arts programming exists as parts of religious sermons or services
- · Debt reduction
- · Regranting, unless permission is received
- Grant administration, overhead, or processing fees taken by an umbrella/parent organization as a
  percentage of the total award, with the exception of fiscal sponsors
- Lobbying expenses
- Expenses related to the construction of facilities
- · Food and beverage for receptions and hospitality functions
- Fundraising projects
- Scholarships and awards
- Equipment or capital expenditures (basic computer devices and other such items that cost less than \$5,000 are considered supplies and are eligible expenses)
- Indirect costs

This list is not comprehensive.

Additionally, according to the Arts Commission's enabling statutes, "Notwithstanding any other law, no monies from the Arizona Commission on the Arts may be spent for payment to any person or entity for use in desecrating, casting contempt on, mutilating, defacing, defiling, burning, trampling, or otherwise dishonoring or causing to bring dishonor on religious objects, the flag of the United States or the flag of this state." Recipients of Arts Commission support are further instructed to "take into consideration general standards of decency and respect for the diverse beliefs and values of the American public" within funded programs.

# FREQUENTLY ASKED QUESTIONS

## 1. My organization is eligible for other Arts Commission grants. Can I apply to those too?

No. Across grant programs, the Arts Commission limits the number of applications that may be submitted by a given organization or unit of government to one (1) application per funding period (July 1 – June 30 of the following year). While an organization or unit of government may be eligible for more than one grant program, they may only apply for one of them in a given funding period. As an example, an applicant who applies to the Capacity Grant program in FY2024 may not apply for a Festival Grant or a Youth Arts Engagement Grant that same year.

## 2. Are multipurpose organizations eligible for the Creative Capacity Grants (CCG) program?

Whereas multipurpose organizations may be eligible to apply for program-based or project-based funding, they are not automatically eligible to apply for General Operating Support (GOS) funding, which is intended to support the ongoing, general, and common day-to-day operations of arts and culture organizations whose primary mission is to produce, present, teach or serve the arts.

Multidisciplinary or multipurpose organizations are eligible for Creative Capacity Grants (CCG) if they meet the program's stated eligibility requirements and are able to demonstrate that the arts comprise over 51% of the organization's public programming and budget. We highly encourage multidisciplinary or multipurpose organizations to contact staff before submitting a CCG application to discuss eligibility.

## 3. How do I generate a DataArts Funder Report?

In order to generate a DataArts Funder Report, organizations enter financial and programmatic data into the Cultural Data Profile (CDP), a free and secure online survey. In an effort to make data entry easier for arts and cultural organizations, the CDP was significantly streamlined in December 2020. You can find resources on preparing your data for entry at <a href="https://culturaldata.org/what-we-do/for-arts-cultural-organizations/the-cultural-data-profile/">https://culturaldata-profile/</a>. And you can find an overview of how to run or produce a Funder Report at <a href="https://culturaldata.secure.force.com/Resources/articles/Article/How-do-I-run-a-Funder-Report/">https://culturaldata.secure.force.com/Resources/articles/Article/How-do-I-run-a-Funder-Report/</a>.

## 4. Does this grant fund or focus on new projects or new work?

No. The production or generation of new projects is not a requirement of the CCG program. CCG provides unrestricted general operating support, meaning that organizations may use CCG funds for many different types of operating expenses. However, there are some expenses which cannot be funded with public dollars; please be sure to check the funding restrictions list before determining how you will apply the grant funds.

# **ARIZONA COMMISSION ON THE ARTS**

One of 56 state and jurisdictional arts agencies across the United States, the Arizona Commission on the Arts is a 57-year-old agency of the State of Arizona and a leading force in the creative and professional development of Arizona's arts sector. Through robust programs, research initiatives and strategic grantmaking, the Arts Commission catalyzes arts-based partnerships that strengthen Arizona communities through the arts.

We imagine an Arizona where everyone can participate in and experience the arts.

For more information, contact us at (602) 771-6501 or email <u>info@azarts.gov</u> or visit <u>www.azarts.gov</u>. To request this or any other publication in an alternate format, contact the Arts Commission offices. An equal employment opportunity agency.